FORM (\*TÓ 1390 (REV 5-93) ATTORNEY DOCKET NUMBER US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 2006 0527A TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (if known, see 37 CFR 1.5) CONCERNING A FILING UNDER 35 U.S.C. §371 10/576,291 International Application No. **International Filing Date Priority Date Claimed** PCT/JP2004/015763 October 19, 2004 October 20, 2003

### Title of Invention

GLASS COMPOSITION AND METHOD FOR PRODUCING GLASS ARTICLE

Applicant(s) For DO/EO/US Shigeaki AOKI et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [] This is a FIRST submission of items concerning a filing under 35 U.S.C. §371.
- 2. [X] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. §371.
- 3. [] This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
- 4. [] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. [] A copy of the International Application as filed (35 U.S.C. §371(c)(2))
  - a. [] is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. [] has been transmitted by the International Bureau.
  - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. [] A translation of the International Application into English (35 U.S.C. §371(c)(2)).
- 7. [] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
  - a. [] are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. [] have been transmitted by the International Bureau.
  - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [] have not been made and will not be made.
- 8. [] A translation of the amendments to the claims under PCT Article 19.
- 9. [X] An executed oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)).
- 10. [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

### Items 11. to 14. below concern other document(s) or information included:

- 11. [] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [X] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [] A FIRST preliminary amendment.
  - [] A SECOND or SUBSEQUENT preliminary amendment.
- 14. [X] Other items or information: (a) Copy of Notification of Missing Requirements.

U.S. APPLICATION NO. (d'Lnown, see 37 CFR 1.5) 10/576,291		INTERNATIONAL APPLICATION NO. PCT/JP2004/015763		ATTORNEY'S DOCKET NO. 2006_0527A	
15. [X] The following fees are sub	CALCULATIONS	PTO USE ONLY			
Basic National Stage Fee					
ENTER APPROP	\$				
Surcharge of \$130.00 for furnishin priority date (37 CFR 1.492(e)).	d \$130.00				
Claims	Number Filed	Number Extra	Rate		
Total Claims	- 20 =		X \$50.00	\$	
Independent Claims	- 3 =		X \$200.00	\$	
Multiple dependent claim(s) (if ap	\$				
TOTAL O	\$130.00				
[] Small Entity Status is hereb	\$				
	\$130.00				
Processing fee of \$130.00 for furn claimed priority date (37 CFR 1.49	\$				
<b>5</b>	\$				
Fee for recording the enclosed ass appropriate cover sheet (37 CFR 3	\$ 40.00				
T	\$170.00				
				Amount to be refunded	\$
				Amount to be charged	\$
					-

a. [X] A check in the amount of \$170.00 to cover the above fees is enclosed. A duplicate copy of this form is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b))

must be filed and granted to restore the application to pending status.

19. CORRESPONDENCE ADDRESS

CUSTOMER NO.

000513

Michael R. Davis Registration No. 25,134

> WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone:(202) 721-8200 Fax:(202) 721-8250

> > December 20, 2006

CHECK NO.

[2006\_0527A]

b. [] Please charge my Deposit Account No.23-0975 in the amount of \$\_\_\_\_\_\_ to cover the above fees.

A duplicate copy of this sheet is enclosed.

c. [] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 23-0975.



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT		ATTY. DOCKET NO.		
10/576,291	Shigeaki Aoki	-	2006-0527A		
	INTERNATIONAL APPLICATION PCT/JP04/15763		NATIONAL APPLICATION NO.		
			7/ЈР04/15763		
3		I.A. FILING DA	TE PRIORITY DATE		
ENDEROTH, LIND & PONACK, L.L.P.	<del></del>	10/19/200	4 10/20/2003		

513 WENDEROTH, LIND & PONACK, L.L.P. 2033 K STREET N. W. SUITE 800 WASHINGTON, DC 20006-1021

CONFIRMATION NO. 4492
371 FORMALITIES LETTER

\*OC000000021460098\*

Date Mailed: 12/04/2006

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/19/2006
- Copy of the International Search Report filed on 04/19/2006
- Preliminary Amendments filed on 04/19/2006
- Information Disclosure Statements filed on 04/19/2006
- Oath or Declaration filed on 04/19/2006
- Request for Immediate Examination filed on 04/19/2006
- U.S. Basic National Fees filed on 04/19/2006
- Priority Documents filed on 04/19/2006
- Specification filed on 04/19/2006
- Claims filed on 04/19/2006
- Abstracts filed on 04/19/2006



WENDEROTH, LIND & PONACK

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
  or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the
  missing items identified in this letter.

## **SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

### FREDERICK SMITH

Telephone: (703) 308-9140 EXT 210

## PART 1 - ATTORNEY/APPLICANT COPY.

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.		ATTY. DOCKET NO.
10/576,291	PCT/JP04/15763	٠	2006-0527A

FORM PCT/DO/EO/905 (371 Formalities Notice)